"Ask First!" This form is to be tilled out by any person who is offering legal, financial, retirement, insurance, accounting, estate, long-term care or similar planning services. Respond to **ALL** categories completely; sign and date at the bottom of the page.

MY EDUCATION- I have achieved the following level of education (check HIGHEST level achieved):

Some High School	Some College
GED	Bachelors Degree - yes
High School Diploma	Masters or Other Advanced Degree - **Juris Doctorate**

MY CREDENTIAL(S)- I have the following specialized credential(s) and training: **JD (law school graduate) with many courses in estate & financial planning, taxation and charitable planning with 25 years experience working for a variety of non-profits. Fiduciary experience of 15 years.**

MY RELEVANT LICENSE(S)- I have the following license(s) giving me the legal authority to provide the services I am offering to you (bar license (attorney); securities license; insurance license):

License Type	Covers What Activities	Issued By	License No.
Fiduciary	Trustee, executor and agent activities	CALIFORNIA	268
Registered Investment Advisor	(not a license but a registration) covers financial advice	CALIFORNIA	CRD 138272

LEGAL SERVICES - (Check ONE):

X I DO NOT practice law, and the services I am offering to you do not involve practicing law.

- I DO practice law, and have an active license to practice law in California.
- I DO practice law, but DO NOT have an active license to practice law in California.

OUR BUSINESS RELATIONSHIP:

TRUE – In our business relationship, I will at all times serve as a fiduciary and put your interest before my interests and those of my employer.

MY COMPENSATION- I will be paid in the following way (commission, fee, salary, etc.), by the named person or company, in connection with the services I am offering to you:

Way(s) I'll Be Paid: FEE ONLY for services rendered Payment will be made by: **CLIENT**
1.5% annually on first $500,000 excluding residence; 1% on next $3 million; hourly rate - $175

FINANCIAL PRODUCTS / AFFILIATED ORGANIZATIONS - FALSE (do not sell product)
I offer or sell annuities, insurance, mutual funds or other financial products; or I am, or my employer is, affiliated with a person or organization that offers or sells annuities, insurance, mutual funds or other financial products.

I certify under penalty of perjury that the responses herein are true to the best of my knowledge.	
Date: 4/2011	Business Name: **Professional Fiduciary Services**
Signature: by computer	Address: 23601 Moulton Parkway, Suite E Laguna Hills, CA 92653
Print Name: **Peter C. Kote**	Telephone: (949) 600 – 8625 www.trusteepro.com pkote@cox.net

A Non-Profit Information Resource for Older Adults HELP
1404 Cravens Avenue
Torrance, California 90501
(310) 533-1996 Also free for Internet download at: http://www.help4srs.org

HELP organization designed the "ASK FIRST" form

Peter C. Kote

pkote@cox.net

Recent Award

Peter Kote, in 2008 won the Financial Planning Association's *Heart of Financial Planning Distinguished Service Award*, a national award given for advancing the profession of financial planning:

"Peter Kote, J.D., has a personal finance workshop series' "It's Your Money" and "It's Your Estate," which educates the public on the importance of financial literacy so they are better prepared to protect their own financial interests from predatory sales techniques." (from FPA press release)

Work Experience

Mr. Kote is founder of Professional Fiduciary Services (PFS), a select group of individuals ready to serve in a fiduciary capacity including trustee, executor, conservator, or agent for the Advance Health Care Directive, Durable or General Power of Attorney for Asset and Property Management. The vision of PFS is to offer ethical, qualified and personable independent fiduciaries who work together to provide quality service for their clients. Mr. Kote's clients range in age of seven to ninety three years old.

Mr. Kote has been a professional fiduciary since 1996. Prior to this, he was a professional in the gift-planning arena and worked for a variety of non-profits. He has been involved in raising over $50 million for various charities. Mr. Kote's previous non-profit employment was with Father Flanagan's Boys' Town out of Omaha, Nebraska; Casa Colina Rehabilitation Hospital Foundation; The National Financial Planning Foundation; The American Heart Association, American Red Cross, Long Beach State University, The National Conference of Christians and Jews, The Youth Development Project and South County Senior Services.

Mr. Kote's financial planning experience comes from his work with the International Association for Financial Planning (known today as the Financial Planning Association.) Mr. Kote also served as a trust officer with First Atlanta Bank and Wachovia Bank in Atlanta.

Education

Father Flanagan's Boys & Girls Town – Family Teacher Credential, 1991
Western State University College of Law, Jurist Doctorate 1980
California State University, Long Beach – worked on an Education Credential, Special Education Credential and Masters Program in Communicative Disorders 1974 – 1977 (No Degree Earned)
California State University, Fullerton – Bachelor of Arts, Communication major 1971

Volunteer Leadership Positions

Laguna Canyon Foundation – Board Vice President and Chair of the Estate Planning and Gifts Committee
Laguna Beach Community Foundation – Founding Trustee
Partnership for Philanthropic Planning – Orange County Chapter past president
Association of Fundraising Professionals – Orange County Chapter past board member
Laguna Beach Rotary Foundation – Committee member

Registrations

Registered Investment Advisor – Registration # CRD 138272
National Guardianship Association – National Certified Guardian